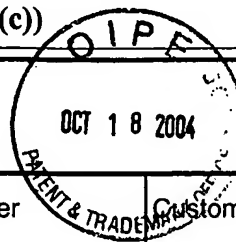


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112857-314

In Re Application Of: **Kazuhiko Hachiya et al.**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/072,582	02/04/2002	Boris M. Pesin	29175	2174	2820

Title: **METHOD AND APPARATUS FOR SENDING E-MAIL, METHOD AND APPARATUS FOR RECEIVING E-MAIL, SENDING/RECEIVING METHOD AND APPARATUS FOR E-MAIL, SENDING PROGRAM SUPPLYING MEDIUM, RECEIVING PROGRAM SUPPLYING MEDIUM AND SENDING/RECEIVING PROGRAM SUPPLYING...**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

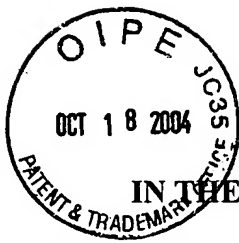
2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 112857-314	
In Re Application: Kazuhiko Hachiya et al.						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/072,582	02/04/2002	Boris M. Pesin	29175	2174	2820	
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<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <p> <input checked="" type="checkbox"/> A check in the amount of \$180.00 is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. <input type="checkbox"/> Charge the amount of <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. </p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <div style="text-align: center;">_____</div> <div style="text-align: center;">(Date)</div> </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <div style="text-align: center;">_____</div> <div style="text-align: center;">Signature</div> </div> <div style="border: 1px solid black; padding: 5px;"> <div style="text-align: center;">_____</div> <div style="text-align: center;">Typed or Printed Name of Person Signing Certificate</div> </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on <div style="text-align: center;">10/13/04</div> </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <div style="text-align: center;">_____</div> <div style="text-align: center;">(Date)</div> </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <div style="text-align: center;">_____</div> <div style="text-align: center;">Signature of Person Mailing Correspondence</div> </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <div style="text-align: center;">Renee Street</div> </div> <div style="border: 1px solid black; padding: 5px;"> <div style="text-align: center;">_____</div> <div style="text-align: center;">Typed or Printed Name of Person Mailing Certificate</div> </div> </div> </div> <p style="margin-top: 10px;">*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <div style="text-align: center; margin-bottom: 5px;"> _____ Signature </div> <p> Thomas C. Basso Reg. No. 46,541 Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 Telephone: (312) 807-4310 </p> </div> <div style="width: 45%;"> <p>Dated: October 13, 2004</p> </div> </div> <p style="margin-top: 20px;">CC:</p>						



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kazuhiko Hachiya et al.
Appl. No.: 10/072,582
Conf. No.: 2820
Filed: February 4, 2002
Title: METHOD AND APPARATUS FOR SENDING E-MAIL, METHOD AND APPARATUS FOR RECEIVING E-MAIL, SENDING/RECEIVING METHOD AND APPARATUS FOR E-MAIL, SENDING PROGRAM SUPPLYING MEDIUM, RECEIVING PROGRAM SUPPLYING MEDIUM AND SENDING/RECEIVING PROGRAM SUPPLYING MEDIUM
Art Unit: 2174
Examiner: Boris M. Pesin
Docket No.: 112857-314

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 08/993,726, filed on December 17, 1997, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,008,853	04/16/1991	Bly et al.
5,107,443	04/21/1992	Smith et al.
5,138,653	08/11/1992	Le Clercq
5,202,828	04/13/1993	Vertelney et al.
5,283,887	02/01/1994	Zachery
5,303,388	04/12/1994	Kreitman et al.
5,347,628	09/13/1994	Brewer et al.
5,377,997	01/03/1995	Wilden et al.
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5,490,244	02/06/1996	Isensee et al.
5,498,003	03/12/1996	Gechter
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5,682,469	10/28/1997	Linnett et al.
5,715,416	02/03/1998	Baker
5,727,950	03/17/1998	Cook et al.
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5,796,393	08/18/1998	MacNaughton et al.
5,826,269	10/20/1998	Hussey
5,845,303	12/01/1998	Templeman
5,892,909	04/06/1999	Grasso et al.
5,903,723	05/11/1999	Beck et al.
5,931,907	08/03/1999	Davies et al.
6,003,070	12/14/1999	Frantz

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JP 03-222033	10/01/1991	Japan
JP 05-274233	10/22/1993	Japan
JP 07-066832	03/10/1995	Japan
EP 0 438 017 B1	07/24/1991	EPO
EP 0 562 995 A2	09/29/1993	EPO
WO 95/14268	05/26/1995	WIPO

OTHER DOCUMENTS

Bank, David, "*General Magic Will Make E-mail Act 'Intelligent'*", San Jose Mercury News, Business Section, pg. 1F.

Bates, Joseph et al., "*An Architecture for Action, Emotion, and Social Behavior*", Carnegie Mellon University, School of Computer Science, CMU-CS-92-144, pp. 1-14.

Bates, Joseph et al., "*An Architecture for Action, Emotion, and Social Behavior*", Artificial Social Systems – 4th European Workshop on Modelling Autonomous Agents in a Multi-Agent World, MAAMAW '92, pp. 55-68.

Bates, Joseph et al., "*Broad Agents*", Carnegie Mellon University, School of Computer Science, SIGART Bulletin Vol. 2, No. 4, pp. 38-40.

Bates, Joseph et al., "*Integrating Reactivity, Goals, and Emotion in a Broad Agent*", Carnegie Mellon University, School of Computer Science, CMU-CS-92-142, pp. 1-13.

Bates, Joseph, "*The Nature of Characters in Interactive Worlds and The Oz Project*", Carnegie Mellon University, School of Computer Science, CMU-CS-92-200, pp. 1-7.

D. Comer, "*Internet Work With TCP/IP*" 1998, Prentice-Hall, ISBN 0-13-1170154-2, 025.

Flynn, Laurie, "*It's No Secret: 'Agents' Might Ease Your Life Think of Agents as Digital Super-Secretaries that Perform Mundane but Critical Information Tasks for You*", San Jose Mercury News, Computing Section, pg. 1F.

IBM Corporation, "*Graphic Office Interface*", IBM Technical Disclosure Bulletin, Vol. 34, Issue 1, pp. 266-269.

Loyall, A. Bryan et al., "*Hap A Reactive, Adaptive Architecture for Agents*", Carnegie Mellon University, School of Computer Science, CMU-CS-91-147, pp. 1-12.

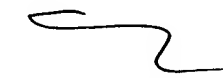
Reilly, W. Scott et al., "*Building Emotional Agents*", Carnegie Mellon University, School of Computer Science, CMU-CS-92-143, pp. 1-13.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Thomas C. Basso
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P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4310

Dated: October 13, 2004

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 112857-314	Application No. 10/072,582
	Applicant Kazuhiko Hachiya et al.	
	Filing Date 02/04/2002	Group 2174

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,008,853	04/16/1991	Bly et al.			
	5,107,443	04/21/1992	Smith et al.			
	5,138,653	08/11/1992	Le Clercq			
	5,202,828	04/13/1993	Vertelney et al.			
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	5,579,472	11/26/1996	Keyworth, II et al.			
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	5,682,469	10/28/1997	Linnett et al.			
	5,715,416	02/03/1998	Baker			
	5,727,950	03/17/1998	Cook et al.			
	5,781,901	07/14/1998	Kuzma			
	5,787,440	07/28/1998	Bakke et al.			
	5,790,639	08/04/1998	Ranalli et al.			
	5,796,393	08/18/1998	MacNaughton et al.			
	5,826,269	10/20/1998	Hussey			
	5,845,303	12/01/1998	Templeman			
	5,892,909	04/06/1999	Grasso et al.			
	5,903,723	05/11/1999	Beck et al.			
	5,931,907	08/03/1999	Davies et al.			
	6,003,070	12/14/1999	Frantz			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 112857-314	Application No. 10/072,582
	Applicant Kazuhiko Hachiya et al.	
	Filing Date 02/04/2002	Group 2174

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Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
		JP 03-222033	10/01/1991	Japan				
		JP 05-274233	10/22/1993	Japan				
		JP 07-066832	03/10/1995	Japan				
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		EP 0 562 995 A2	09/29/1993	EPO				
		WO 95/14268	05/26/1995	WIPO				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Bank, David, "General Magic Will Make E-mail Act 'Intelligent'", San Jose Mercury News, Business Section, pg. 1F.
	Bates, Joseph et al., "An Architecture for Action, Emotion, and Social Behavior", Carnegie Mellon University, School of Computer Science, CMU-CS-92-144, pp. 1-14.
	Bates, Joseph et al., "An Architecture for Action, Emotion, and Social Behavior", Artificial Social Systems - 4 th European Workshop on Modelling Autonomous Agents in a Multi-Agent World, MAAMAW '92, pp. 55-68.
	Bates, Joseph et al., "Broad Agents", Carnegie Mellon University, School of Computer Science, SIGART Bulletin Vol. 2, No. 4, pp. 38-40.
	Bates, Joseph et al., "Integrating Reactivity, Goals, and Emotion in a Broad Agent", Carnegie Mellon University, School of Computer Science, CMU-CS-92-142, pp. 1-13.
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